

## REPORT ON CORPORATE GOVERNANCE OF LISTING COMPANY (year 2018)

To:           - **The State Securities Commission**  
               - **The Ho Chi Minh City Stock Exchange**

- Name of listing company : Duc Thanh Wood Processing Joint Stock Company
- Address : 21/6D Phan Huy Ich, Ward 14, Go Vap District, Ho Chi Minh City
- Tel : (028) 3589 4287                      Fax: (028) 3589 4288
- Email : [info@goducthanh.com](mailto:info@goducthanh.com)
- Charter capital : VND 171,369,680,000
- Securities code : GDT

### I. ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS

Information on meetings and resolutions of the Annual General Meeting (AGM) of Shareholders for the fiscal year 2017

No.	Date	Approved contents
01-18/NQ DHDCĐ GDT	21/4/2018	<ul style="list-style-type: none"> <li>➢ <u>03 reports:</u> <ol style="list-style-type: none"> <li>1) Board of Management's report on manufacturing and trading performances in 2017 and business plan for 2018</li> <li>2) Supervisory Board's report in 2017 and plan for 2018</li> <li>3) Board of Directors' report in 2017 and plan for 2018</li> </ol> </li> <li>➢ <u>09 issues:</u> <ol style="list-style-type: none"> <li>1) Audited Financial Statement for the fiscal year of 2017 and Ernst &amp; Young Vietnam Limited was selected to audit the company's financial statement for the fiscal year of 2018</li> <li>2) Remuneration, rewards of the BOD &amp; Supervisory Board in 2017 and 2018</li> <li>3) Profits distribution in 2017 and plan for 2018</li> <li>4) Supplementing business lines: Freight transport by road (4933)</li> <li>5) Amending and supplementing the company's charter in accordance with the Circular 95/2017/TT-BTC.</li> <li>6) Amending and supplementing the internal regulations on corporate governance in accordance with the Circular 95/2017/TT-BTC.</li> <li>7) Employee Stock Option Plan (ESOP)</li> <li>8) Resignation of a member of the BOD and electing one supplementary member.</li> <li>9) Resignation of a member of the Supervisory Board and electing two members.</li> </ol> </li> <li>➢ Ms. Nguyen Ha Ngoc Diep was elected to the BOD in term of 2015-2019.</li> <li>➢ Ms. Bui Tuong Anh and Ms. Truong Thi Binh were elected to the Supervisory Board in the term of 2015-2019.</li> </ul>

## II. BOARD OF DIRECTORS

### 1. Information about the members of the Board of Directors:

No.	BOD's members	Position	Day becoming member of the BOD	Number of attendance	Percent -age	Reasons for absence
1	Ms. Le Hai Lieu	Chairwoman	25/4/2015	5/5	100%	
2	Mr. Le Hong Thang	Vice Chairman	25/4/2015	5/5	100%	
3	Mr. Le Hong Thanh	Member	25/4/2015	5/5	100%	
4	Mr. Tran Xuan Nam	Member	25/4/2015	5/5	100%	
5	Ms. Truong Thi Dieu Le	Member	23/4/2016	5/5	100%	
6	Mr. Le Nhu Vu	Member	23/4/2016	5/5	100%	
7	Ms. Nguyen Ha Ngoc Diep	Member	21/4/2018	3/5	60%	Assigned from 21 <sup>st</sup> April, 2018

### 2. Supervision by the BOD over the Board of Management (BOM):

- The BOD checked and supervised management activities of the BOM:
  - Coordinating with the BOM to implement 2018 plan in accordance with resolutions of AGM for the fiscal year 2017 and resolutions of the BOD.
  - The BOD always updated company's operations through direct reports and quarterly meetings...
- The BOD strictly and closely supervised activities of Managing Director to ensure effective management and production organization.

### 3. Activities of the BOD's committees: *None*

### 4. Resolutions of the Board of Directors

No.	Resolution No.	Date (dd/mm/yyyy)	Contents
1	1-18/NQ- ĐT	27/01/2018	<ul style="list-style-type: none"> <li>- Reporting on manufacturing and trading performances in 2017 and business plan for 2018;</li> <li>- Ms. Nguyen Thi Huong Huyen resigned a member of the Supervisory Board in term of 2015-2019 from 27<sup>th</sup> January, 2018.</li> <li>- Mr. Le Hong Thang was reappointed at the position of Managing Director in term of 5 years (2018-2023).</li> </ul>
2	2-18/NQ- ĐT	14/04/2018	<ul style="list-style-type: none"> <li>- Reporting on manufacturing and trading performances in Q1/2018 and business plan for Q2/2018;</li> <li>- The Board of Directors will submit to the AGM contents as follows:               <ul style="list-style-type: none"> <li>a) Employee Stock Option Plan (ESOP);</li> <li>b) The total 2017 dividend rate of 70%, in which:                   <ul style="list-style-type: none"> <li>- In August 2017 and December 2017, the company paid 2017 advanced dividend with the rate of 40% by cash for two times (4.000 VND per share).</li> <li>- For the 30% remaining 2017 dividend, the percentage is as follows:                       <ul style="list-style-type: none"> <li>▪ 20% of cash dividend (2.000 VND per share).</li> <li>▪ 10% of stock dividend (shareholders are entitled to receive 10 new shares for every 100 shares they own).</li> <li>▪ Payment time: after the AGM for the fiscal year 2017 approves.</li> </ul> </li> </ul> </li> <li>c) List of members of the BOD in term of 2015-2019:                   <ul style="list-style-type: none"> <li>▪ Ms. Nguyen Ha Ngoc Diep - CFO of GDT</li> </ul> </li> <li>d) List of members of Supervisory Board members in term of 2015-2019:                   <ul style="list-style-type: none"> <li>▪ Ms. Bui Tuong Anh – CFO of Red Wok JSC.</li> <li>▪ Ms. Truong Thi Binh – Former Sales Director of GDT</li> </ul> </li> </ul> </li> </ul>

No.	Resolution No.	Date (dd/mm/yyyy)	Contents
3	3-18/NQ- ĐT	21/07/2018	<ul style="list-style-type: none"> <li>- Reporting on manufacturing and trading performances in Q2/2018 and business plan for Q3/2018;</li> <li>- Approving the first advance payment of 2018 cash dividend with the rate of 20% (2.000 VND per share);</li> <li>- Mr. Nguyen Viet Anh resigned as the Head of the Supervisory Board and a member of the Supervisory Board in term of 2015-2019 from 21<sup>st</sup> July, 2018.</li> </ul>
4	4-18/NQ- ĐT	14/08/2018	<ul style="list-style-type: none"> <li>- Increasing the company's charter capital from VND 149,094,600,000 to VND 163,941,760,000 after issuing shares to pay final 2017 dividend.</li> </ul>
5	5-18/NQ- ĐT	27/10/2018	<ul style="list-style-type: none"> <li>- Reporting on manufacturing and trading performances in Q3/2018 and business plan for Q4/2018;</li> <li>- Approving to free transfer of the first 50% ESOP 2016 shares from 10<sup>th</sup> December, 2018.</li> <li>- Approving the second advance payment of 2018 cash dividend with the rate of 15% (1.500 VND per share).</li> <li>- Approving 2018 Employee Stock Ownership Plan (ESOP plan 2018) in details.</li> </ul>

### III. SUPERVISORY BOARD

#### 1. Information about members of Supervisory Board:

No.	Members of Supervisory Board	Position	Day becoming member of the Supervisory Board	Number of attendance	Percent -age	Reasons for absence
1	Ms. Truong Thi Binh	Head	21/04/2018	2/4	50%	Assigned from 21 <sup>st</sup> April 2018 and elected as Head of the Supervisory Board in term of 2015-2019 from 18 <sup>th</sup> September, 2018.
2	Mr. Tran Ngoc Hung	Member	25/04/2015	4/4	100%	
3	Ms. Bui Tuong Anh	Member	21/04/2018	2/4	50%	Assigned from 21 <sup>st</sup> April 2018

#### 2. Surveillance activities of the Supervisory Board toward the Board of Directors, Board of Management and shareholders

- Checking and supervising so that the company operates in accordance with Law on Enterprises, the company's charter and the resolution of AGM of Shareholders for the fiscal year 2017.
- At the BOD's meetings, members of the Supervisory Board participated and contributed ideas to company's activities related to implementation of the business plan.
- For shareholders: The Supervisory Board has not received any complaints from shareholders or group of shareholders.

#### 3. The coordination among the Supervisory Board with the Board of Directors, Board of Management and different managers

The Board of Directors, Board of Management and other departments have coordinated and facilitated the Supervisory Board in following matters:

- Collecting information and documents related to production and business activities;
- Inspecting and supervising all business activities of the company;
- Contributing ideas and suggestions to the Board of Directors, Board of Management, Accounting Department regarding to accounting works, financial statement....

#### 4. Other activities of the Supervisory Board (if any): *None*

### IV. TRAINING ON CORPORATE GOVERNANCE

Training courses on corporate governance which members of the BOD, members of the Supervisory Board, Managing Director, other managers and company secretary was involved in accordance with regulations on corporate governance: *None*

**V. LIST OF AFFILIATED PERSONS OF THE LISTING COMPANY AS SPECIFIED IN CLAUSE 34, ARTICLE 6 OF THE SECURITIES LAW AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY WITH THE COMPANY**

**1. List of affiliated persons of the Company**

No	Name of individual	Securities trading account (if any)	Position at the company (if any)	ID Card No	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
<b>A. BOARD OF DIRECTORS</b>										
I	<b>LE HAI LIEU</b>		Chair woman					25/4/15		
<i>List of affiliated persons of Ms. Le Hai Lieu</i>										
1	Ha Thi Hue									Mother
2	Nguyen Le Vy									Child
3	Le Nhu Ai									Husband
4	Le Nhu Vu		Member					23/4/16		Child
5	Le Hong Thang		Vice Chairman							Brother
6	Nguyen Thi Phuong Lan									Sister in law
7	Le Hong Thanh		Member							Brother
8	Hoang Thi Lam Dung									Sister in law
9	Le Thi Hai Lai									Sister
10	Pham Anh Huan									Brother in law
11	Le Tan Loi									Sister
12	Ho Pham Huy Anh									Brother in law
13	Le Phuoc Lanh									Sister
14	Ho Trong Phuong									Brother in law
15	Le Trong Nhan									Brother
16	Huynh Thi Thanh									Sister in law
17	Le Hai Ly									Sister
18	Le Thu Cuc									Sister
19	Le Thu Van									Sister
II	<b>LE HONG THANG</b>		Vice Chairman					25/4/15		
<i>List of affiliated persons of Mr. Le Hong Thang</i>										
1	Ha Thi Hue									Mother
2	Le Nguyen Minh Quan									Child
3	Le Nguyen Minh Nhi									Child
4	Nguyen Thi Phuong Lan									Wife
5	Le Hai Lieu		Chair woman							Sister
6	Le Nhu Ai									Brother in law

No	Name of individual	Securities trading account (if any)	Position at the company (if any)	ID Card No	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
7	Le Hong Thanh		Member							Brother
8	Hoang Thi Lam Dung									Sister in law
9	Le Thi Hai Lai									Sister
10	Pham Anh Huan									Brother in law
11	Le Tan Loi									Sister
12	Ho Pham Huy Anh									Brother in law
13	Le Phuoc Lanh									Sister
14	Ho Trong Phuong									Brother in law
15	Le Trong Nhan									Brother
16	Huynh Thi Thanh									Sister in law
17	Le Hai Ly									Sister
18	Le Thu Cuc									Sister
19	Le Thu Van									Sister
<b>III</b>	<b>LE HONG THANH</b>		Member					25/4/15		

*List of affiliated persons of Mr. Le Hong Thanh*

1	Ha Thi Hue									Mother
2	Hoang Thi Lam Dung									Wife
3	Le Hoang Dat									Child
4	Le Hoang Phuc									Child
5	Le Hai Lieu		Chair woman							Sister
6	Le Nhu Ai									Brother in law
7	Le Hong Thang		Vice Chairman							Brother
8	Nguyen Thi Phuong Lan									Sister in law
9	Le Thi Hai Lai									Sister
10	Pham Anh Huan									Brother in law
11	Le Tan Loi									Sister
12	Ho Pham Huy Anh									Brother in law
13	Le Phuoc Lanh									Sister
14	Ho Trong Phuong									Brother in law
15	Le Trong Nhan									Brother
16	Huynh Thi Thanh									Sister in law
17	Le Hai Ly									Sister

No	Name of individual	Securities trading account (if any)	Position at the company (if any)	ID Card No	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
18	Le Thu Cuc									Sister
19	Le Thu Van									Sister
<b>IV</b>	<b>LE NHU VU</b>		Member					23/4/16		
<i>List of affiliated persons of Mr. Le Nhu Vu</i>										
1	Nguyen Thi Thanh Huong									Wife
2	Le Nhu Ai									Father
3	Le Hai Lieu		Chair woman							Mother
4	Nguyen Le Vy									Sister
<b>V</b>	<b>TRAN XUAN NAM</b>		Member					25/4/15		
<i>List of affiliated persons of Mr. Tran Xuan Nam</i>										
1	Le Thi Phuong Thao									Wife
2	Tran Quang Tri									Child
3	Tran Quang Minh									Child
4	Ton Nu Thi Kinh									Mother
5	Tran Thi Bich									Sister
6	Tran Thi Thanh Ha									Sister
7	Tran Xuan Vinh									Brother
8	Tran Xuan Nhi									Brother
9	Tran Xuan Long									Brother
10	Tran Thi Kim Ha									Sister
11	Tran Xuan Hai									Brother
12	Tran Thi Minh Tam									Sister
13	Tran Xuan Quang									Brother
<b>VI</b>	<b>TRUONG THI DIEU LE</b>		Member					23/4/16		
<i>List of affiliated persons of Ms. Truong Thi Dieu Le</i>										
1	Hoang Anh Tuan									Husband
2	Hoang Anh Quan									Child
3	Hoang Anh Khoi									Child
4	Nguyen Thi Hien									Mother
5	Truong Thi Dieu Linh									Sister
<b>VII</b>	<b>NGUYEN HA NGOC DIEP</b>		Member					21/4/18		
<i>List of affiliated persons of Ms. Nguyen Ha Ngoc Diep</i>										
1	Tran Ngoc Dien									Husband
2	Tran Ngoc Phuong Thanh									Child
3	Tran Ngoc Phuong Uyen									Child
4	Tran Ngoc Quyen									Child

No	Name of individual	Securities trading account (if any)	Position at the company (if any)	ID Card No	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
5	Nguyen Van Quunh									Father
6	Ha Thi Ngoc Huong									Mother
7	Nguyen Van Toan									Brother
<b>B. SUPERVISORY BOARD</b>										
I	<b>TRUONG THI BINH</b>		Head					21/4/18		
<i>List of affiliated persons of Ms. Truong Thi Binh</i>										
1	Nguyen Van My									Husband
2	Nguyen Truong Minh Nhat									Child
3	Nguyen Truong Anh Quoc									Child
4	Truong Van Quyet									Brother
5	Truong Thi Tam									Sister
6	Truong Thi Loi									Sister
7	Truong Van Hoa									Brother
8	Truong Van Thong									Brother
9	Truong Thi Nhat									Sister
II	<b>TRAN NGOC HUNG</b>	068C000006	Member					25/4/15		
<i>List of affiliated persons of Mr. Tran Ngoc Hung</i>										
1	Nguyen Duong Hoang My Anh									Wife
2	Tran Trung Nghi									Child
3	Tran Phuong Nghi									Child
4	Tran Thi Ngoc Hanh									Sister
5	Tran Ngoc Hai									Brother
6	Tran Ngoc Can									Father
7	Vo Thi Xuan Phuong									Mother
8	Tran Thi Ngoc Ha									Sister
9	Tran Thi Ngoc Huyen									Sister
10	Tran Thi Quynh Dao									Sister
III	<b>BUI TUONG ANH</b>		Member					21/4/18		
<b>C. BOARD OF MANAGEMENT</b>										
I	<b>LE HONG THANG</b>		Managing Director	(please refer to A.II)						
II	<b>NGUYEN HA NGOC DIEP</b>		CFO	(please refer to A.VII)						

No	Name of individual	Securities trading account (if any)	Position at the company (if any)	ID Card No	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
<b>III</b>	<b>NGUYEN THI THANH HUYEN</b>		HR Director							
<i>List of affiliated persons of Ms. Nguyen Thi Thanh Huyen</i>										
1	Nguyen Duc Tinh		Plan Director in trial period							Husband
2	Nguyen Van Khanh									Child
3	Nguyen Khanh Linh									Child
4	Nguyen Van Truyen									Father
5	Tran Thi Xuyen									Mother
6	Nguyen Thi Huyen Tran									Sister
<b>IV</b>	<b>BUI PHUONG THAO</b>		Chief Accountant							
<i>List of affiliated persons of Ms. Bui Phuong Thao</i>										
1	Bui Duc Dung									Father
2	Mai Hoai Thu									Mother
3	Dao Hung Cuong									Husband
4	Dao Nguyen Pho									Child
5	Dao Nguyen Khanh									Child
6	Bui Ngoc Thuy									Sister
7	Bui Thuy Minh									Sister
8	Bui Quang Thien									Brother
<b>V</b>	<b>LE CONG TU</b>		Accounting Manager					03/08/18		
<i>List of affiliated persons of Mr. Le Cong Tu</i>										
1	Le Thi Bong									Me
2	Le Cong Dung									Anh
3	Le Thi Nhung									Chi
4	Le Thi Thuong									Chi
5	Le Thi Hoai									Chi
6	Le Cong Toan									Em
7	Phung Thi Thu Tuyet									Vợ
8	Le Phung Cong Hoang									Con
9	Le Phung Anh Thu									Con
<b>VI</b>	<b>CHE DONG KHANH</b>	068C005104	Deputy Production Director							
<i>List of affiliated persons of Mr. Che Dong Khanh</i>										
1	Le Thi Kim Hoa									Wife
2	Che Anh Kiet									Child
3	Che Kim Ngoc									Child
4	Che Van Xung									Father
5	Vo Thi Ngoc Suong									Mother

No	Name of individual	Securities trading account (if any)	Position at the company (if any)	ID Card No	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
VII	NGUYEN VAN DUC	068C005101	Deputy Technical Director							
<i>List of affiliated persons of Mr. Nguyen Van Duc</i>										
1	Nguyen Thi Hai									Wife
2	Nguyen Phuong Lan									Child
3	Nguyen Phuong Thao									Child
4	Nguyen Truong Giang									Child
5	Nguyen Phuong Diem Chi									Child

2. Transactions between the company and the affiliated persons or between the company and major shareholders, internal persons and related person of internal person: *None*
3. Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power: *None*
4. Transactions between the company and other objects
  - 4.1 Transactions between the company and the company that members of the BOD, members of the Supervisory Board, Managing Director has been a founding member or members of Board of Directors, Managing Director in three (03) years (calculated at the time of reporting): *None*
  - 4.2 Transactions between the company and the company that related person of members of the BOD, members of the Supervisory Board, Managing Director as a member of Board of Directors, Managing Director: *None*
  - 4.3 Other transactions of the company (if any) may be beneficial material or immaterial for members of the BOD, members of the Supervisory Board, Managing Director: *None*

## VI. TRANSACTIONS OF INTERNAL PERSONS AND RELATED PERSON OF INTERNAL PERSON

1. List of internal persons and their affiliated persons: Based on the shareholders' list of GDT dated on 14<sup>th</sup> December, 2018

No	Name of individual	Securities trading account (if any)	Position at the company (if any)	ID Card No	Address	Number of shares owned at the end of period	Percentage of share ownership at the end of period	Note
<b>A. BOARD OF DIRECTORS</b>								
I	LE HAI LIEU					259,847	1.58%	
<i>List of affiliated persons of Ms. Le Hai Lieu</i>								
1	Ha Thi Hue					4,831,621	29.47%	Mother
2	Nguyen Le Vy					290,400	1.77%	Child
3	Le Nhu Ai					346,500	2.11%	Husband
4	Le Nhu Vu					290,521	1.77%	Child
5	Le Hong Thang					472,670	2.88%	Brother
6	Nguyen Thi Phuong Lan					49,368	0.30%	Sister in law
7	Le Hong Thanh					8,140	0.05%	Sister
8	Le Thi Hai Lai					20,320	0.12%	Sister
9	Pham Anh Huan					3,520	0.02%	Brother in law
10	Le Tan Loi					253,960	1.55%	Sister
11	Ho Pham Huy Anh					43,560	0.27%	Brother in law

No	Name of individual	Securities trading account (if any)	Position at the company (if any)	ID Card No	Address	Number of shares owned at the end of period	Percentage of share ownership at the end of period	Note
12	Le Phuoc Lanh					311,632	1.90%	Sister
13	Ho Trong Phuong					40,656	0.25%	Brother in law
14	Le Trong Nhan					41,382	0.25%	Brother
15	Huynh Thi Thanh					154,840	0.94%	Sister in law
16	Le Hai Ly					101,640	0.62%	Sister
17	Le Thu Cuc					101,640	0.62%	Sister
18	Le Thu Van					101,640	0.62%	Sister
<b>II</b>	<b>LE HONG THANG</b>		Vice Chairman			472,670	2.88%	
<i>List of affiliated persons of Mr. Le Hong Thang</i>								
1	Ha Thi Hue					4,831,621	29.47%	Mother
2	Nguyen Thi Phuong Lan					49,368	0.30%	Wife
3	Le Hai Lieu		Chair woman			259,847	1.58%	Sister
4	Le Nhu Ai					346,500	2.11%	Brother in law
5	Le Hong Thanh		Member			8,140	0.05%	Brother
6	Le Thi Hai Lai					20,320	0.12%	Sister
7	Pham Anh Huan					3,520	0.02%	Brother in law
8	Le Tan Loi					253,960	1.55%	Sister
9	Ho Pham Huy Anh					43,560	0.27%	Brother in law
10	Le Phuoc Lanh					311,632	1.90%	Sister
11	Ho Trong Phuong					40,656	0.25%	Brother in law
12	Le Trong Nhan					41,382	0.25%	Brother
13	Huynh Thi Thanh					154,840	0.94%	Sister in law
14	Le Hai Ly					101,640	0.62%	Sister
15	Le Thu Cuc					101,640	0.62%	Sister
16	Le Thu Van					101,640	0.62%	Sister
<b>III</b>	<b>LE HONG THANH</b>		Member			8,140	0.05%	
<i>List of affiliated persons of Mr. Le Hong Thanh</i>								
1	Ha Thi Hue					4,831,621	29.47%	Mother
2	Le Hai Lieu		Chair woman			259,847	1.58%	Sister
3	Le Nhu Ai					346,500	2.11%	Brother in law
4	Le Hong Thang		Vice Chairman			472,670	2.88%	Brother
5	Nguyen Thi Phuong Lan					49,368	0.30%	Sister in law
6	Le Thi Hai Lai					20,320	0.12%	Sister
7	Pham Anh Huan					3,520	0.02%	Brother in law
8	Le Tan Loi					253,960	1.55%	Sister
9	Ho Pham Huy Anh					43,560	0.27%	Brother in law
10	Le Phuoc Lanh					311,632	1.90%	Sister
11	Ho Trong Phuong					40,656	0.25%	Brother in law
12	Le Trong Nhan					41,382	0.25%	Brother

No	Name of individual	Securities trading account (if any)	Position at the company (if any)	ID Card No	Address	Number of shares owned at the end of period	Percentage of share ownership at the end of period	Note
13	Huynh Thi Thanh					154,840	0.94%	Sister in law
14	Le Hai Ly					101,640	0.62%	Sister
15	Le Thu Cuc					101,640	0.62%	Sister
16	Le Thu Van					101,640	0.62%	Sister
IV	<b>LE NHU VU</b>					290,521	1.77%	
<i>List of affiliated persons of Mr. Le Nhu Vu</i>								
1	Le Nhu Ai					346,500	2.11%	Father
2	Le Hai Lieu		Chair woman			259,847	1.58%	Mother
3	Nguyen Le Vy					290,400	1.77%	Sister
V	<b>TRAN XUAN NAM</b>		Member			11,660	0.07%	
VI	<b>TRUONG THI DIEU LE</b>		Member			2,750	0.02%	
VII	<b>NGUYEN HA NGOC DIEP</b>		Member			731,942	4.46%	
<b>B. SUPERVISORY BOARD</b>								
I	<b>TRUONG THI BINH</b>		Member			18,432	0.11%	
II	<b>TRAN NGOC HUNG</b>	068C000006	Member			7,590	0.05%	
<b>C. BOARD OF MANAGEMENT</b>								
I	<b>LE HONG THANG</b>		Managing Director		(please refer to A.II)			
II	<b>NGUYEN HA NGOC DIEP</b>		CFO		(please refer to A.VII)			
III	<b>NGUYEN THI THANH HUYEN</b>		HR Director			31,452	0.19%	
<i>List of affiliated persons of Ms. Nguyen Thi Thanh Huyen</i>								
1	Nguyen Duc Tinh		Plan Director in trial period			31,863	0.19%	Husband
2	Nguyễn Thị Huyền Trân					5,610	0.03%	Sister
IV	<b>BUI PHUONG THAO</b>		Chief Accountant			8,140	0.05%	
V	<b>LÊ CONG TU</b>		Accounting Manager			3,800	0.02%	
VI	<b>CHE DONG KHANH</b>		Deputy Production Director			5,900	0.04%	
VII	<b>NGUYEN VAN DUC</b>		Deputy Technical Director			9,100	0.05%	

2. Transactions of internal persons and affiliated persons on shares of the listed company

No.	Transaction executor	Relationship with internal person	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increase, decrease (purchase, sale, switch, reward...)
			Number of shares	Percentage	Number of shares	Percentage	
1	Bui Phuong Thao	Chief Accountant	10,000	0.06%	8,140	0.05%	Sale
2	Ha Thi Hue	Mother of Vice Chairman cum Managing Director	3,247,992	21.78%	4,831,621	29.47%	Receiving transfer
3	Le Hong Thang	Vice Chairman cum Managing Director	1,089,700	7.31%	472,670	2.88%	Transferring to Ms.Hue
4	Le Hong Thanh	Vice Managing Director	396,791	2.66%	8,140	0.05%	Transferring to Ms.Hue
5	Hoang Thi Lam Dung	Wife of Vice Managing Director	11,220	0.08%	0	0%	Sale
6	Nguyen Thi Huyen Tran	Sister of HR Director	0	0%	5,610	0.03%	Purchase
7	Che Dong Khanh	Deputy Production Director	10,560	0.06%	5,900	0.04%	Sale
8	Nguyen Van Duc	Deputy Technical Director	10,670	0.07%	9,100	0.05%	Sale
9	Le Cong Tu	Accounting Manager	4,180	0.03%	1,900	0.01%	Sale
10	Pham Anh Huan	Brother in law of Vice Chairman	43,520	0.27%	3,520	0.02%	Transferring to child
11	Le Thi Hai Lai	Sister of Vice Chairman	100,320	0.61%	20,320	0.12%	Transferring to child
12	Le Tan Loi	Sister of Vice Chairman	239,580	1.46%	259,200	1.58%	Purchase

VII. OTHER SIGNIFICANT ISSUES: *None*


  
**CHAIRWOMAN**  
 (Sign and seal)

**LE HAI LIEU**

**Recipient:**

- SSC, HSX;
- Archive: Secretary.